

Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)

TIMOTHY K. CARNS, JOHN L. HORVATH,)
LEE J. DEBRULER and MICHAEL)
WESTPHAL)

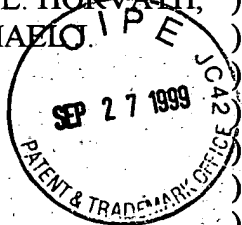
Attention:

Application Branch

Serial No.: 09/351,544)

Filed: July 12, 1999)

For: PROCESS TO IMPROVE HIGH)
PERFORMANCE CAPACITOR)
PROPERTIES IN INTEGRATED)
MOS TECHNOLOGY)



San Francisco, California

Box Missing Parts
Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner of Patents, Washington, D.C. 20231 on September 22, 1999.

Brenda J. Dolly

Signature

Brenda J. Dolly 9/22/99

Date

TRANSMITTAL OF MISSING PARTS

Sir:

Transmitted herewith are the missing parts of the above-identified application:

1. A Patent Application Declaration.
2. A Power of Attorney by Zilog, Inc.
3. An Assignment by Inventors to Zilog, Inc., Form PTO-1595, and a check in the amount of \$40.00 to cover its recordal fee.

4. A Certificate Under 37 C.F.R. Section 3.73(b) Establishing Right of Assignee to Take Action.
5. A copy of the Notice to File Missing Parts of Application, and a check in the amount of \$130.00 to cover the filing fee.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 13-1030. A duplicate copy of this sheet is enclosed.

Dated: September 22, 1999

Respectfully submitted,



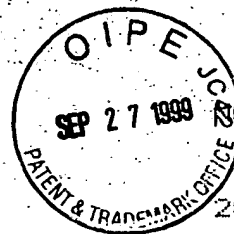
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Atty. Docket: ZILG.204US0

APPLICATION NUMBER 09/351,544	FILING/RECEIPT DATE 07/12/99	FIRST NAMED APPLICANT LARNS	ATTORNEY DOCKET NO./TITLE ZILG.2040S0
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0212/0806

GERALD P. PARSONS
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.
FOUR EMBARCADERO CENTER, SUITE 1100
SAN FRANCISCO CA 94111-4106



DATE MAILED:

08/06/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing
☐ insufficient

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)). ☐

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

(A copy of this notice MUST be returned with the reply.)

Roxa Blyzard
Customer Service Center

Initial Patent Examination Division (703) 308-1202

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